

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on May 26, 1999.

*Kathy Johnson*  
Kathy Johnson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Richard C. Allen and Michael Cornfeldt

Serial No.: 09/289,576

Filing Date: April 9, 1999

For: METHODS OF TREATING  
SCHIZOPHRENIA

Examiner: Unknown

Group Art Unit: 1614

TRANSMITTAL

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed please find the following:

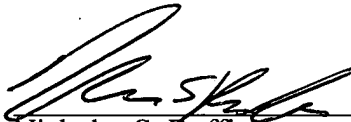
- Request For A Corrected Filing Receipt
- Copy of Marked-up Filing Receipt
- Copy of Return Receipt Postcard
- Copy of U.S. Postal Service Pickup Service Statement
- Copy of April 9, 1999 Ledger Sheet
- Return Receipt Postcard

The Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this transmittal, or to credit any overpayment, to Deposit Account No. 03-1952.

Respectfully submitted,

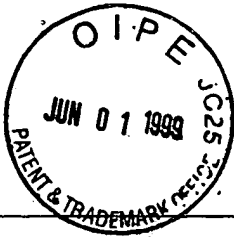
Dated: May 26, 1999

By:



Nicholas S. Buffinger  
Registration No. 39,124

Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Telephone: (650) 813-5816  
Facsimile: (650) 494-0792

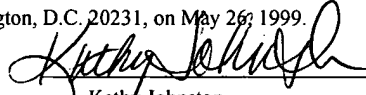


#4

PATENT  
Docket No. 398802000600

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Examiner: Unknown

Group Art Unit: 1614

REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

The Filing Date shown is "04/10/99"; it should be --04/09/99--. Submitted herewith is evidence in support of Applicants' filing date of April 9, 1999:

- A copy of the endorsed return receipt postcard, indicating the date the application was mailed and the Express Mail label number (Express Mail label number EM056845428US);

- A copy of the outgoing mail ledger from the Palo Alto office of Morrison & Foerster, indicating that the application was mailed, by U.S. Postal Service Express Mail, on April 9, 1999, with Express Mail label number EM056845428US; and
- A copy of a Pickup Service Statement, dated April 9, 1999, evidencing that a package bearing the Express Mail label number used for the instant application (Express Mail label number EM056845428US) was accepted by the U.S. Postal Service on April 9, 1999.

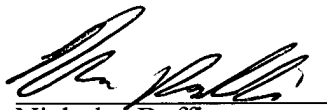
A copy of the marked-up Filing Receipt is attached.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: May 26, 1999

By:

  
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FILING RECEIPT



#4  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/289,576	<del>04/10/99</del> 04/09/99	1614	\$0.00	398802000600	0	43	5

MORRISON & FOERSTER  
755 PAGE MILL ROAD  
PALO ALTO CA 94304-1018

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MAY 13 1999

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

RICHARD C. ALLEN, RESIDENCE NOT PROVIDED; MICHAEL  
CORNFELDT, RESIDENCE NOT PROVIDED.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/07/99

TITLE

METHODS OF TREATING SCHIZOPHRENIA

PRELIMINARY CLASS: 514

DATA ENTRY BY: LOVER, ANTHONY

TEAM: 06 DATE: 05/07/99

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DOCKETED ART RI



Attorney Dkt: 398802000600  
Applications: Richard C. Allen and Michael Cornfeldt  
Serial No. Applied for  
Filing Date: April 9, 1999  
Title: METHODS OF TREATING SCHIZOPHRENIA

Date: 4/9/99  
NSB/ksj

EXPRESS MAIL EM056845428US

Papers: 1. Transmittal Letter (2 pages)  
2. Specification (32 pages)  
3. This Return Receipt Postcard

EM056845428US

DOCKETED *[Signature]*

Attorney Dkt: 398802000600  
Applications: Richard C. Allen and Michael Cornfeldt  
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APR 03 1999

MORRISON &amp; FOERSTER LLP

REC PA	4/2/99	29900-20484.00 09/289,575	368	Specs. (13), Claims (9), Abstract (1), Dwg. (12); ck. for \$ 986.00; (b)(1) Copy of Priority Docs.; Trans. under Rule 1.53 (b); Assign. Signed Decl.; Exp. Mail # ELOS3908693US
SB TR	4/2/99	39880-20006.00 09/289,576	337	Trans. Hr. (2), Specs. (32); Exp. Mail # ELOS6845428US
SB TR	4/2/99	22500-20304.00 09/289,578	337	Trans. Hr. (2), Specs. (42), Dwg. (14), Exp. Mail # ELOS6845414 US
GR PA	4/2/99	31141-20011.00		Amend. Trans. (2); Amend. under CFR 1.116 (4); Copy of Submn. of formal Dwg. w/ 2 shks. of Dwg. (4)
GR PA	4/2/99	31141-20011.00	401	Submn. of formal Dwg. (2); (2) shks. of formal Dwg.
TR PA	4/2/99	25169-20047.00		IDS (3), Form PTO 1449 (1); Ref. (13)
SB PA	4/2/99	15979-20001.00		Amend. Trans. (2); Amend. & Resp. to OA (2); Pending Claims (5); Copy of Not. To Comply w/ Req. for Adv. Appln. Containing Seq. Disclosures (1) Seq. List (3); Disk (1)
SP PA	4/2/99	37647-20002.00	345	Trans. (2); Second Req. for Corrected F.R. (2); Copy of marked up F.R. (1) Copy of Stamped P.C. (4)
CR PA	4/2/99	27025-20045.02		IDS (3), PTO 1449 (2)
WC PA	4/2/99	35353-20009.00		Trans. Suppl. IDS (3); PTO-1449 (1) Ref. (20)
WC TRN		37361-20013.40		Filed Amendment; Resp. to Int'l Search Report. (Repl. Pages 26 & 29.)
R	4/2/99	31608-20001.21 PC	405	Trans. Dec. Pros. by Assignee: POA;